

TOWN OF SCHROON
Local Law No. 3 of the year 1980

(A local law providing for the defense of town officers and employees)

DEFENSE OF TOWN OFFICERS AND EMPLOYEES LAW

Be it enacted by the Town Board of the Town of Schroon as follows:

Section 1. As used in this local law, unless the context otherwise requires:

(a) the term "employees" shall mean any person holding a position by election, appointment or employment in the service of the Town, but shall not include a volunteer, any person not compensated for his services or an independent contractor. The term "employee" shall include a former employee, his estate or judicially appointed personal representative.

(b) The term "town" shall mean the Town of Schroon.

Section 2. (a) Upon compliance by the employee with the provisions of section 3 of this local law, the town shall provide for the defense of the employee in any civil action or proceeding in any state or federal court arising out of any alleged act or omission which occurred or is alleged in the complaint to have occurred while the employee was acting or in good faith purporting to act within the scope of his public employment or duties. Such defense shall not be provided where such civil action or proceeding is brought by or on behalf of the Town.

(b) Subject to the conditions set forth in this local law, the employee shall be represented by the town attorney or an attorney employed or retained by the town for the defense of the employee. The town board shall employ or retain an attorney for the defense of the employee whenever 1) the town does not have a town attorney, 2) the town board determines based upon its investigation and review of the facts and circumstances of the case that representation by the town attorney would be inappropriate, or 3) a court of competent jurisdiction determines that a conflict of interest exists and that the employee cannot be represented by the town attorney. Reasonable attorney's fees and litigation expenses shall be paid by the Town to such attorney employed or retained, from time to time, during the pendency of the civil action or proceeding subject to certification by the town supervisor that the employee is entitled to representation under the terms and conditions of this local law. Payment of such fee and expenses shall be made in the same manner as payment of other claims and expenses of the town. Any dispute with respect to representation of multiple employees by the town attorney or by in attorney employed or retained for such purposes or with respect to the amount of the fees or expenses shall be resolved by the court.

(c) Where the employee delivers process and a request for a defense to the attorney or the town supervisor as required by Section 3 of this local law, the town attorney or the supervisor, as the case may be, shall take the necessary steps including the retention of an attorney under the terms and conditions provided in paragraph (b) of this section on behalf of the employee to avoid entry of a default judgment, pending resolution of any question relating to the obligation of the town to provide a defense.

Section 3. The duties to defend provided in this local law shall be contingent upon
(a) delivery to the town attorney, or if none, to the town supervisor of the original or a copy of any summons, complaint, process, notice, demand or pleading within five days after he is served with such document, and
(b) the full cooperation of the employee in the defense of such action or proceeding and defense of any action or proceeding against the town based upon the same act or omission, and in the prosecution of any appeal. Such delivery shall be deemed a request by the employee that the town provide for his defense pursuant to this local law, unless the employee shall state in writing that a defense is not requested.

Section 4. The benefits of this local law will inure only to employees as defined herein and shall not enlarge or diminish the rights of any other party nor shall any provision of this local law be construed to affect, alter or repeal any provisions of the Workers' Compensation Law.

Section 5. The benefits of this local law shall be extended to an employee of a negotiating unit for which an agreement has been negotiated pursuant to Civil Service Law, Article 14 only if such agreement expressly so provides.

Section 6. The provisions of this local law shall not be construed to impair, alter, limit or modify the rights and obligations of any insurer under any policy of insurance.

Section 7. As otherwise specifically provided in this local law, the provisions of this local law shall not be construed in any way to impair, alter, limit, modify, abrogate or restrict any immunity available to or conferred upon any unit, entity, officer or employee of the town or any right to defense provided for any governmental officer or employee by, in accordance with, or by reason, any other provision of state or federal statutory or common law.

Section 8. The provisions of this local law shall apply to all actions and proceedings specified herein which have been commenced, instituted or brought on or after the effective date of this local law.

Section 9. This local law shall take effect immediately upon filing in the office of the Secretary of State.

I hereby certify that the local law annexed hereto, designated as local law No. 3 of 1980 of the Town of Schroon was duly passed by the Town Board on July 2, 1980 in accordance with the applicable provisions of law.

I further certify that I have compared the preceding local law with the original on file in this office and that the same is a correct transcript therefrom and of the whole of such original local law, and was finally adopted in the manner indicated above.

Janice E. Tyrrell (signature)
Town Clerk

Date: July 3, 1980

STATE OF NEW YORK
COUNTY OF ESSEX

I, the undersigned, hereby certify that the foregoing local law contains the correct text and that all proper proceedings have been had or taken for the enactment of the local law annexed hereto.

David N. Cubley (signature)
Town Attorney
Town of Schroon

Date: July 3, 1980

Filed with the Secretary of State February 13, 1981